

[TDCC Form  
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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

61377A

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

**10/590685**

INTERNATIONAL APPLICATION NO.  
**PCT/US2005/005308**

INTERNATIONAL FILING DATE  
**18 February 2005**

PRIORITY DATE CLAIMED  
**27 February 2004**

**TITLE OF INVENTION**

**PROCESS FOR RECOVERING ORGANIC COMPOUNDS FROM AQUEOUS STREAMS CONTAINING SAME**

**APPLICANT(S) FOR DO/EO/US**

**Timothy C. Frank; Felipe A. Donate; Thomas C. Thyne**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 15. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
13. ☒ A substitute specification.
14. ☐ A change of power of attorney and/or address letter.
15. ☐ Other items or information:

|  |  |  |  |                                    |  |
|--|--|--|--|------------------------------------|--|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50)<br><div style="text-align: center; font-size: 1.2em; font-weight: bold;">10/590685</div> |  | INTERNATIONAL APPLICATION NO.<br>PCT/US2005/005308 |  | ATTORNEY'S DOCKET NUMBER<br>61377A |  |
|--|--|--|--|------------------------------------|--|

|  |  |  |  |              |  |              |  |
|--|--|--|--|--------------|--|--------------|--|
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><div style="margin-left: 20px;"> <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b><br/>           Search Report has been prepared by the EPO or JPO . . . . \$ <b>950.00</b><br/>           International preliminary examination fee paid to<br/>           USPTO (37 CFR 1.482) . . . . . \$ <b>750.00</b><br/>           No international preliminary examination fee paid to<br/>           USPTO (37 CFR 1.482) but international search fee<br/>           paid to USPTO (37 CFR 1.445(a)(2)) . . . . . \$ <b>790.00</b><br/>           Neither international preliminary examination<br/>           fee (37 CFR 1.482) nor international search fee<br/>           (37 CFR 1.445 (a)(2)) paid to USPTO . . . . . \$ <b>1,110.00</b><br/>           International preliminary examination fee paid to<br/>           USPTO (37 CFR 1.482) and all claims satisfied provisions<br/>           of PCT Article 33(2)-(4) . . . . . \$ <b>100.00</b> </div> <div style="text-align: right; margin-top: 10px;"> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div> |  |  |  | CALCULATIONS |  | PTO USE ONLY |  |
| <div style="text-align: right; margin-top: 10px;"> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div>  |  |  |  | \$ 950.00    |  |              |  |
| Surcharge of \$ <b>0.00</b> for furnishing the oath or declaration later<br>than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority<br>date (37 CFR 1.492(e)).  |  |  |  | \$ 0.00      |  |              |  |

| Claims  | Number Filed     | Number Extra | Rate               |                           |    |
|---|------------------|--------------|--------------------|---------------------------|----|
| Total Claim   | <b>15 - 20 =</b> | <b>0</b>     | X \$ <b>50.00</b>  | \$ <b>0.00</b>            |    |
| Independent Claims  | <b>2 - 3 =</b>   | <b>0</b>     | X \$ <b>200.00</b> | \$ <b>0.00</b>            |    |
| Multiple dependent claim(s) (if applicable)   |                  |              | \$ <b>0.00</b>     | \$ <b>0.00</b>            |    |
| Processing fee of \$ <b>0</b> for furnishing the English Translation<br>later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority<br>date (37 CFR 1.492(f)). |                  |              |                    | \$ 0.00                   |    |
| TOTAL NATIONAL FEE =  |                  |              |                    | \$ 950.00                 |    |
|   |                  |              |                    | Amount to be<br>refunded: | \$ |
|   |                  |              |                    | charged:                  | \$ |

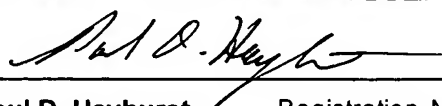
  

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **04-1512** in the amount of \$ **950.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **04-1512**. A duplicate copy of this sheet is enclosed.

**Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

|  |  |
|--|--|
| SEND ALL CORRESPONDENCE TO:<br>Michael L. Glenn<br>The Dow Chemical Company<br>Intellectual Property<br>P.O. Box 1967<br>Midland, Michigan 48641-1967<br>UNITED STATES OF AMERICA<br><br>Phone: (989) 636-9373 | Signature: <u></u><br>Paul D. Hayhurst, Registration No. 30,180<br><br>Date: <u>25 August 2006</u> |
|--|--|

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| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50)<br><br><div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/590685</div> |  | INTERNATIONAL APPLICATION NO.<br>PCT/US2005/005308 |  | ATTORNEY'S DOCKET NUMBER<br>61377A |  |
|--|--|--|--|------------------------------------|--|


|   |                  |              |                    |                        |  |                |  |
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| Claims  | Number Filed     | Number Extra | Rate               |                        |  |                |  |
| Total Claim   | <b>15 - 20 =</b> | <b>0</b>     | X \$ <b>50.00</b>  | \$ <b>0.00</b>         |  |                |  |
| Independent Claims  | <b>2 - 3 =</b>   | <b>0</b>     | X \$ <b>200.00</b> | \$ <b>0.00</b>         |  |                |  |
| Multiple dependent claim(s) (if applicable)   |                  |              |                    | \$ <b>0.00</b>         |  | \$ <b>0.00</b> |  |
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| <b>TOTAL NATIONAL FEE =</b>   |                  |              |                    | \$ <b>950.00</b>       |  |                |  |
|   |                  |              |                    | Amount to be refunded: |  | \$             |  |
|   |                  |              |                    | charged:               |  | \$             |  |

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EXPRESS MAIL MAILING LABEL NO. **EV331474684US**  
DATE OF DEPOSIT: **August 25, 2006**

**IAP5 Rec'd PCT/PTO 25 AUG 2006**

**10/590685**

**THIS APPLICATION IS THE ENTRY INTO THE  
NATIONAL PHASE UNDER 35 U.S.C. 371**

**Applicant(s):** DOW GLOBAL TECHNOLOGIES INC.

**International Application No.** PCT/US2005/005308

**International Filing Date:** 18 February 2005

**Priority Date Claimed:** 27 February 2004

**Title:** PROCESS FOR RECOVERING ORGANIC COMPOUNDS FROM AQUEOUS STREAMS  
CONTAINING SAME

**Attorney's Docket No.:** 61377A